

Federal Reserve Bank of San Francisco

Reporting Status (Check Appropriate Box)		<input checked="" type="checkbox"/> Incumbent <input type="checkbox"/> New Filer <input type="checkbox"/> Termination Filer		Calendar Year Covered by Report 2020	Date of Employment 09/03/1996	Termination Date (if applicable) 	Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. New Filers: Schedule A—The reporting period is the preceding calendar year and the current calendar year up to the date of filing. Schedule B—Not applicable. Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreement or Arrangement)—Show any agreement or arrangement as of the date of filing. Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.
Last Name Daly				First Name and Middle Initial Mary C.			
Position President and Chief Executive Officer						Telephone No. (Include Area Code) 	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		Signature of Reporting Individual 			Date 		
Ethics Officer's Review I certify that I have reviewed the information contained in this report.		Signature of Ethics Officer 			Date 		
Comments of Ethics Officer (If additional space is required, use the reverse side of this sheet) 							

(Check box if comments are continued on the reverse side ☐

Reporting Individual's Name Mary C. Daly			SCHEDULE A											Calendar Year Covered 2020		Page Number 1									
Assets and Income BLOCK A			Value of Assets at close of reporting period BLOCK B						Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																
Identify each asset held for the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period. See the instructions for special rule for reporting an interest in a depository institution and other entities regardless of value. Identify each asset or source of income which generated over \$200 in income during the reporting period. None <input type="checkbox"/>			OWNER	None (or less than \$1,001)	\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$100,000	Over \$1,000,000	Type							Amount					Date (Mo., Day, Yr.) Only if Honoraria			
										Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$25,001 - \$150,000	\$150,001 - \$500,000		Over \$500,000		
Examples:	Central Airlines Common	S		X						X															
	Doe Jones & Smith, Hometown, USA	E															Law Partnership Income								
	Kempstone Equity Fund	E																							
1	City and County of San Francisco Deferred Comp Plan-Prudential	S				X							X					X							
2	TIAA Traditional Annuity + SEP	S			X								X					X							
3	Praxis/ New Harbinger	S															Instructor/Author	X							
4	Rochelle I. Frank Psychology Practice	S															Sole Proprietor				X				
5	University of California, Berkeley	S															Adjunct Professor		X						
6	University of California, San Francisco	S															Adjunct Professor	X							
7	The Wright Institute	S															Adjunct Professor			X					
8	Octave Health Group	S															Clinical Advisor	X							
9	Merrill Lynch (passive account status)	E					X						X					X							
10	American Psychological Association	S															Adjunct Professor		X						
11																									

Reporting Individual's Name	New Filer (Schedule Not Applicable) <input type="checkbox"/>	SCHEDULE B	Calendar Year Covered	Page Number
Mary C. Daly			2020	2

Part I: Transactions

Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank		None <input checked="" type="checkbox"/>										
		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)					
Purchase	Sale		Exchange	\$1,001 - \$500,000	\$50,001 - \$250,000		\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000			
Identification of Assets												
Examples:	Central Airlines Common	S	x			2/1/93	x					
1												
2												
3												
4												
5												
6												
7												
8												
9												

Part II: Gifts

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relative, gifts valued at \$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.		None <input checked="" type="checkbox"/>									
Source (Name and Address)		Brief Description									Value
1											
2											
3											

Reporting Individual's Name	<div>SCHEDULE C</div>	Calendar Year Covered	Page Number
Mary C. Daly		2020	3

Part I: Liabilities				Category of Amount or Value (x)						
<div> <div>Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.</div> <div> <div>None</div> <div></div> </div> </div>										
Creditor (Name and Address)		Type of Liability	DEBTOR	ID-RSSD	0-\$50,000	\$50,0001 \$150,000	\$150,001 \$500,000	\$500,001 \$1,000,000	Over \$1,000,000	
Example:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	J			x				
1	Synovus Bank, Columbus, GA	Mortgage on owner occupied property, Oakland, CA	J					X		
2	BMW Finance, Oakland, CA	BMW car lease, Oakland, CA	J		X					
3										
4										
5										
6										
7										

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank?

☐ Yes
 ☒ No
 If so, explain.

Part II: Agreements or Arrangements			
<div> <div>Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.</div> <div>None</div> <div></div> </div>			
Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1			
2			
3			

Reporting Individual's Name		SCHEDULE D			Calendar Year Covered	Page Number
Mary C. Daly					2020	4
<div>Part I: Positions Held Outside the Federal Reserve Bank<div>None <input type="checkbox"/></div></div> <div>Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature. Also report any position held by your spouse, a child, parent or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.</div>						
Organization (Name and Address)		Holder	Type of Organization	Position	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Mo. Bar Assoc., Jefferson City, MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1	Center for First-Gen Success	E	Educational	Board Member	6/2020 - Present	
2	Syracuse University, Maxwell School Board of Advisors	E	Educational	Board Member	11/2019 - President	
3	Journal of Comparative Policy Analysis	E	Educational	Editorial Board Member	1/2017 - President	
4	Western Economic Association International	E	Educational	Board Member	7/2017 - 12/2018	
5						
6						
7						
8						
9						
10						
<div>Part II: Other Situations</div> <div>Descr be any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.</div> <div></div> <div></div> <div></div>						

ROCHELLE I FRANK AND MARY C DALY

Description	Symbol	Value of Assets
CASH/MONEY FUNDS		\$1,001 - \$50,000
ABBOTT LABS	ABT	\$1,001 - \$50,000
ACCENTURE PLC SHS	ACN	\$1,001 - \$50,000
AIR PRODUCTS&CHEM	APD	\$1,001 - \$50,000
AMGEN INC COM	AMGN	\$1,001 - \$50,000
AON PLC REG SHS	AON	\$1,001 - \$50,000
AUTOMATIC DATA PROC	ADP	\$1,001 - \$50,000
BROADCOM LTD	AVGO	\$1,001 - \$50,000
CHEVRON CORP	CVX	\$1,001 - \$50,000
CHUBB LTD	CB	\$1,001 - \$50,000
CIGNA CORP REG SHS	CI	\$1,001 - \$50,000
CISCO SYSTEMS INC COM	CSCO	\$1,001 - \$50,000
COCA COLA COM	KO	\$1,001 - \$50,000
COMCAST CORP NEW CL A	CMCSA	\$1,001 - \$50,000
CROWN CASTLE REIT INC	CCI	\$1,001 - \$50,000
DANAHER CORP DEL COM	DHR	\$1,001 - \$50,000
DARDEN RESTAURANTS INC	DRI	None (or less than \$1,001)
DUKE ENERGY CORP NEW	DUK	\$1,001 - \$50,000
DUPONT DE NEMOURS INC	DD	\$1,001 - \$50,000
EATON CORP PLC	ETN	\$1,001 - \$50,000
ELI LILLY & CO	LLY	\$1,001 - \$50,000
EOG RESOURCES INC	EOG	\$1,001 - \$50,000
EVERSOURCE ENERGY COM	ES	None (or less than \$1,001)
EXXON MOBIL CORP	XOM	\$1,001 - \$50,000
FIRSTENERGY CORP	FE	\$1,001 - \$50,000
HOME DEPOT INC	HD	\$1,001 - \$50,000
HONEYWELL INTL INC DEL	HON	\$1,001 - \$50,000
ILLINOIS TOOL WORKS INC	ITW	\$1,001 - \$50,000
INGERSOLL-RAND PLC	IR	\$1,001 - \$50,000
JOHNSON AND JOHNSON COM	JNJ	\$1,001 - \$50,000
JOHNSON CONTROLS INTER	JCI	\$1,001 - \$50,000
KIMBERLY CLARK	KMB	\$1,001 - \$50,000
LEAR CORP SHS	LEA	\$1,001 - \$50,000
LOCKHEED MARTIN CORP	LMT	\$1,001 - \$50,000
LYONDELLBASELL INDUSTRIE	LYB	\$1,001 - \$50,000
MARSH & MCLENNAN COS INC	MMC	\$1,001 - \$50,000
MAXIM INTEGRATED PRODS	MXIM	\$1,001 - \$50,000
MCDONALDS CORP COM	MCD	\$1,001 - \$50,000
MCKESSON CORPORATION COM	MCK	\$1,001 - \$50,000
MEDTRONIC PLC SHS	MDT	\$1,001 - \$50,000
MICROSOFT CORP	MSFT	\$1,001 - \$50,000
MONDELEZ INTERNATIONAL	MDLZ	\$1,001 - \$50,000
NASDAQ OMX GRP INC	NDAQ	\$1,001 - \$50,000
NESTLE S A REP RG SH ADR	NSRGY	\$1,001 - \$50,000
NEXTERA ENERGY INC SHS	NEE	\$1,001 - \$50,000
NORTHROP GRUMMAN CORP	NOC	\$1,001 - \$50,000
ONEOK INC (OKLAHOMA)	OKE	None (or less than \$1,001)
PAYCHEX INC	PAYX	\$1,001 - \$50,000
PEPSICO INC	PEP	\$1,001 - \$50,000

PFIZER INC	PFE	\$1,001 - \$50,000
PHILIP MORRIS INTL INC	PM	\$1,001 - \$50,000
PHILLIPS 66 SHS	PSX	\$1,001 - \$50,000
PPG INDUSTRIES INC SHS	PPG	\$1,001 - \$50,000
PROLOGIS INC	PLD	None (or less than \$1,001)
REALTY INCM CRP MD PV\$1.	O	\$1,001 - \$50,000
ROCHE HLDG LTD SPN ADR	RHHBY	\$1,001 - \$50,000
SEMPRA ENERGY	SRE	\$1,001 - \$50,000
SIMON PROPERTY GROUP DEL	SPG	\$1,001 - \$50,000
SOUTHERN COMPANY	SO	\$1,001 - \$50,000
STANLEY BLACK & DECKER	SWK	\$1,001 - \$50,000
STARBUCKS CORP	SBUX	\$1,001 - \$50,000
SUNCOR ENERGY INC NEW	SU	\$1,001 - \$50,000
SYSCO CORPORATION	SY	\$1,001 - \$50,000
TEXAS INSTRUMENTS	TXN	\$1,001 - \$50,000
THERMO FISHER SCIENTIFIC	TMO	\$1,001 - \$50,000
TRAVELERS COS INC	TRV	\$1,001 - \$50,000
UNION PACIFIC CORP	UNP	\$1,001 - \$50,000
VALERO ENERGY CORP NEW	VLO	\$1,001 - \$50,000
WEC ENERGY GROUP INC SHS	WEC	\$1,001 - \$50,000
WILLIAMS COMPANIES DEL	WMB	\$1,001 - \$50,000
VANGUARD SHORT TERM BOND	BSV	\$1,001 - \$50,000
VANGUARD SHORT-TERM CORP BOND	VCSH	\$1,001 - \$50,000
WESTERN ASSET CORE PLUS FUND	WACPX	\$50,001 - \$250,000
PORTFOLIO VALUE as of 12-31-2020		\$250,001 - \$500,000

ROCHELLE I FRANK AND MARY C DALY JTWROS

[REDACTED]
[REDACTED]
[REDACTED] (Cash Account)

Description	Symbol	Share Quantity	Asset Value
CASH/MONEY FUNDS			\$1,001 - \$50,000
PORTFOLIO VALUE as of 12-31-2019			\$1,001 - \$50,000